

A rigidity theorem for self-shrinkers of MCF.

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Symmetry and shape

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Definition 1

A complete isometric immersion $X : \Sigma^n \rightarrow \mathbb{R}^{n+m}$ is a λ -soliton of the MCF with respect to $\vec{0} \in \mathbb{R}^{n+m}$, ($\lambda \in \mathbb{R}$), if and only if

$$\vec{H} = -\lambda X^\perp$$

where X^\perp stands for the normal component of X and \vec{H} is the mean curvature vector of the immersion X .

Definition 2

A λ -soliton for the MCF with respect to $\vec{0} \in \mathbb{R}^{n+m}$ is called a self-shrinker if and only if $\lambda \geq 0$. It is called a self-expander if and only if $\lambda < 0$.

Remark 3

Given a complete immersion $X : \Sigma^n \rightarrow \mathbb{R}^{n+m}$ satisfying

$$\vec{H} = -\lambda X^\perp$$

the family of homothetic immersions

$$X_t = \sqrt{1 - 2\lambda t} X$$

satisfies the equation of the MCF

$$\begin{cases} \left(\frac{\partial}{\partial t} X(p, t)\right)^\perp &= \vec{H}(p, t) \quad \forall p \in \Sigma, \forall t \in [0, T) \\ X(p, 0) &= X_0(p), \quad \forall p \in \Sigma \end{cases}$$

so X becomes the 0-slice of the family $\{X_t\}_{t=0}^\infty$ of solutions of equation above.

Example 4

- A compact λ -self-shrinker $X : \Sigma^n \rightarrow \mathbb{R}^{n+m}$ is $\Sigma = S^{\frac{n+m-1}{\sqrt{\lambda}}}$ ($\vec{0}$)
- Complete non-compact self-shrinkers:
 - $\Gamma \times \mathbb{R}^{n-1} \subseteq \mathbb{R}^{n+m}$, where Γ is an Abresch-Langer curve
 - $S^k(\sqrt{\frac{k}{\lambda}}) \times \mathbb{R}^{n-k} \subseteq \mathbb{R}^{n+m}$, generalized cylinders
 - $\Sigma = \mathbb{R}^n \subseteq \mathbb{R}^{n+m}$ is an Euclidean subspace, (case $\lambda = 0$).

Part I. Introduction: A classification (gap) theorem of proper self-shrinkers of MCF. 4/25

H. D. Cao and H. Li proved the following classification result for properly immersed self-shrinkers

Theorem. H. D. Cao and H. Li, Calc. Var. 46 (2013)

Let $X : \Sigma^n \rightarrow \mathbb{R}^{n+m}$ be a complete and proper λ -self-shrinker, with bounded norm of the second fundamental form by

$$\|A_{\Sigma}^{\mathbb{R}^{n+m}}\|^2 \leq \lambda,$$

Then Σ is one of the following:

- 1 Σ is a round sphere $S^n(\sqrt{\frac{n}{\lambda}})$, (and hence $\|A_{\Sigma}^{\mathbb{R}^{n+m}}\|^2 = \lambda$).
- 2 Σ is a cylinder $S^k(\sqrt{\frac{k}{\lambda}}) \times \mathbb{R}^{n-k}$, (and hence $\|A_{\Sigma}^{\mathbb{R}^{n+m}}\|^2 = \lambda$).
- 3 Σ is an hyperplane, (and hence $\|A_{\Sigma}^{\mathbb{R}^{n+m}}\|^2 = 0$).

Part I. Introduction: When the sphere separates a soliton.

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Definition 5

Let $X : \Sigma^n \rightarrow \mathbb{R}^{n+m}$ be an isometric immersion. We say that the sphere $S_{\sqrt{\frac{n}{\lambda}}}^{n+m-1}(\vec{0})$ **separates** $X(\Sigma)$ if and only if

$$X(\Sigma) \cap B_{\sqrt{\frac{n}{\lambda}}}^{n+m}(\vec{0}) \neq \emptyset \text{ and } X(\Sigma) \cap (\mathbb{R}^{n+m} \setminus \bar{B}_{\sqrt{\frac{n}{\lambda}}}^{n+m}(\vec{0})) \neq \emptyset.$$

Namely, there exists $p, q \in \Sigma$ such that

$$r_{\vec{0}}(p) = \text{dist}_{\mathbb{R}^{n+m}}(\vec{0}, X(p)) = \|X(p)\| < \sqrt{\frac{n}{\lambda}} \text{ and}$$

$$r_{\vec{0}}(q) = \|X(q)\| > \sqrt{\frac{n}{\lambda}}.$$

Part I. Introduction: When the sphere separates a soliton.

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Definition 6

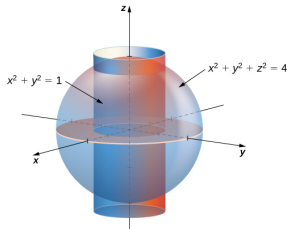
Let $X : \Sigma^n \rightarrow \mathbb{R}^{n+m}$ be an isometric immersion. We say that the sphere $S_{\sqrt{\frac{n}{\lambda}}}^{n+m-1}(\vec{0})$ **does not separate** $X(\Sigma)$ if and only if $X(\Sigma) \cap B_{\sqrt{\frac{n}{\lambda}}}^{n+m}(\vec{0}) = \emptyset$ or $X(\Sigma) \cap (\mathbb{R}^{n+m} \setminus \bar{B}_{\sqrt{\frac{n}{\lambda}}}^{n+m}(\vec{0})) = \emptyset$.

Namely, $\forall p \in \Sigma$, we have $r_{\vec{0}}(p) = \|X(p)\| \leq \sqrt{\frac{n}{\lambda}}$ or $r_{\vec{0}}(p) = \|X(p)\| \geq \sqrt{\frac{n}{\lambda}}$

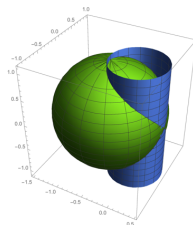
Part I. Introduction: When the sphere separates a soliton.

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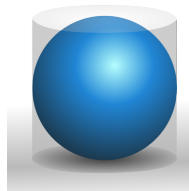
A cylinder *separated*
by one sphere



A cylinder *separated*
by one sphere



A cylinder *non sep-*
arated by one sphere



Theorem 1. V. Gimeno and V. P., JGA, 2019

Let $X : \Sigma^n \rightarrow \mathbb{R}^{n+m}$ be a complete and proper λ -self-shrinker.
Let us suppose that the sphere $S_{\sqrt{\frac{n}{\lambda}}}^{n+m-1}(\vec{0})$ does not separate $X(\Sigma)$.
Then Σ^n is compact and $X : \Sigma \rightarrow S_{\sqrt{\frac{n}{\lambda}}}^{n+m-1}$ is a minimal immersion.

Corollary 1. M. P. Cavalcante-J.M. Espinar, Bull. London Math. Soc. 48 (2016), V. Gimeno and V. P., JGA, 2019

Let $X : \Sigma^n \rightarrow \mathbb{R}^{n+1}$ be a complete, connected and proper λ -self-shrinker.

Let us suppose that the sphere $S^{n+m-1}(\vec{0})$ does not separate $X(\Sigma)$.
Then, Σ^n is isometric to $S^n\left(\sqrt{\frac{n}{\lambda}}\right)$

Sketch of proof

- No separation by the sphere implies, (Theorem 1), that $X : \Sigma \rightarrow S^{n+m-1}\left(\sqrt{\frac{n}{\lambda}}\right)$ is a minimal immersion.
- The local isometry $X : \Sigma^n \rightarrow S^n\left(\sqrt{\frac{n}{\lambda}}\right)$ among connected/simply connected spaces becomes a Riemannian covering and hence, an isometry.

Theorem 2. V. Gimeno and V. P., JGA, 2019

Let $X : \Sigma^n \rightarrow \mathbb{R}^{n+m}$, ($m \geq 2$), be a complete and proper λ -self-shrinker, such that:

- i) The sphere $S^{\frac{n+m-1}{\sqrt{\lambda}}}$ does not separate $X(\Sigma)$.
- ii) The second fundamental form of Σ is bounded by

$$\|A_\Sigma^{\mathbb{R}^{n+m}}\|^2 < \frac{5}{3}\lambda$$

Then, Σ^n is isometric to $S^n\left(\sqrt{\frac{n}{\lambda}}\right)$.

- We would like to emphasize the analogy of our notion of “separation by spheres” with the notion of “separation by planes” used in the Halfspace theorem for self-shrinkers.

Halfspace theorem for self-shrinkers, see M. P. Cavalcante-J.M. Espinar, Bull. London Math. Soc. 48 (2016) and S. Pigola-M. Rimoldi, Ann. Global Analysis 45 (2014)

Let P^n be an hyperplane in \mathbb{R}^{n+1} passing through the origin. The only properly immersed self-shrinker Σ^n contained in one of the closed half-space determined by P is $\Sigma = P$.

- In this sense, Corollary 1 above could be stated as:

Theorem, (Corollary 1)

The only properly immersed and connected self-shrinker Σ^n contained in one of the closed domains determined by the sphere $S^n_{\sqrt{\frac{n}{\lambda}}}(\vec{0})$, is $\Sigma^n = S^n_{\sqrt{\frac{n}{\lambda}}}(\vec{0})$

Part III. Proof of our results. Minimal immersions into the sphere and self-shrinkers. 12/25

Proposition 7 (K. Smoczyk, Int. Math. Res. Not. 48 (2005))

Let $X : \Sigma^n \rightarrow \mathbb{S}^{n+m-1}(R)$ be a complete spherical immersion. Then, the following affirmations are equivalent:

- 1 $X : \Sigma^n \rightarrow \mathbb{S}^{n+m-1}(R)$ is a minimal immersion into $\mathbb{S}^{n+m-1}(R)$.
- 2 X is a λ -self-shrinker with $\lambda = \frac{n}{R^2}$, i.e., $R = \sqrt{\frac{n}{\lambda}}$

Part III. Proof of our results. Minimal immersions into the sphere and self-shrinkers. 13/25

Proof of Proposition 7

- To see 1) \Rightarrow 2), use the equation

$$\vec{H}_{\Sigma \subseteq \mathbb{R}^{n+m}} = \vec{H}_{\Sigma \subseteq S^{n+m-1}(R)} - \frac{n}{R^2} X = -\frac{n}{R^2} X = -\frac{n}{R^2} X^\perp$$

- To see 2) \Rightarrow 1), use that X is a λ -self-shrinker and the extrinsic distance function $r_{\vec{0}}(p) := \text{dist}_{\mathbb{R}^{n+m}}(\vec{0}, X(p))$ defined on Σ .
- Given $F(p) := r^2(p) = \|X\|^2 = R^2$ on Σ , apply

Lemma 8

Given $F : \Sigma \rightarrow \mathbb{R}$, $F \in C^2(\Sigma)$, for all $x \in \Sigma$ such that $r(x) > 0$, we have

$$\begin{aligned} \Delta^\Sigma F(r(x)) &= \left(\frac{F''(r(x))}{r^2(x)} - \frac{F'(r(x))}{r^3(x)} \right) \|X^T\|^2 \\ &\quad + \frac{F'(r(x))}{r(x)} \left(n + \langle X, \vec{H}_{\Sigma \subseteq \mathbb{R}^{n+m}} \rangle \right) \end{aligned}$$

We are going to prove

Theorem 1

Let $X : \Sigma^n \rightarrow \mathbb{R}^{n+m}$ be a complete properly immersed λ -self-shrinker.

Let us suppose that the sphere $S_{\sqrt{\frac{n}{\lambda}}}^{n+m-1}(\vec{0})$ does not separate $X(\Sigma)$.

Then Σ^n is compact and $X : \Sigma \rightarrow S_{\sqrt{\frac{n}{\lambda}}}^{n+m-1}$ is a minimal immersion.

Proof of Theorem 1

- As $S_{\sqrt{\frac{n}{\lambda}}}^{n+m-1}(\vec{0})$ does not separate $X(\Sigma)$ then $X(\Sigma) \subseteq \bar{B}_{\sqrt{\frac{n}{\lambda}}}^{n+m}(\vec{0})$ or $X(\Sigma) \subseteq \mathbb{R}^{n+m} \setminus B_{\sqrt{\frac{n}{\lambda}}}^{n+m}(\vec{0})$.
- Suppose first that $X(\Sigma) \subseteq \bar{B}_{\sqrt{\frac{n}{\lambda}}}^{n+m}(\vec{0})$.
- Then $\sqrt{\frac{n}{\lambda}} \geq r(p) \forall p \in \Sigma$.
- Hence $\|X^\perp\|^2 \leq \|X\|^2 \leq \frac{n}{\lambda}$.
- Then, compute, (using Lemma 8 above):

$$\Delta^\Sigma r^2(x) = 2(n - \lambda \|X^\perp\|^2) \geq 0$$

Proof of Theorem 1

- As X is proper and $\Sigma = X^{-1}(\bar{B}_{\sqrt{\frac{n}{\lambda}}}(0))$, then Σ is compact and hence, by Hopf's Lemma:
- $r^2(x) = R^2 \forall x \in \Sigma$, so we have the spherical immersion $X : \Sigma \rightarrow S^{n+m-1}(R)$, for some $R \leq \sqrt{\frac{n}{\lambda}}$.
- As Σ is a λ -soliton for the MCF, then $R = \sqrt{\frac{n}{\lambda}}$, and $X : \Sigma \rightarrow S^{n+m-1}(\sqrt{\frac{n}{\lambda}})$ is minimal by Proposition 7.

Proof of Theorem 1

- Suppose now that $X(\Sigma) \subseteq \mathbb{R}^{n+m} \setminus B_{\sqrt{\frac{n}{\lambda}}}(\vec{0})$.
- Then $\sqrt{\frac{n}{\lambda}} \leq r(p) \forall p \in \Sigma$.
- Assume that $X(\Sigma) \not\subseteq S^{n+m-1}(R)$ for any radius $R > 0$ and that $\inf_{\Sigma} r > \sqrt{\frac{n}{\lambda}}$. We will reach a contradiction.
- First, as $\inf_{\Sigma} r > \sqrt{\frac{n}{\lambda}}$, we have that, for any $p \in \Sigma$

$$1 - \frac{\lambda}{n} r^2(p) < 0$$

Proof of Theorem 1

- Hence, given the extrinsic ball

$D_R = X^{-1}(B_R^{n+m}(\vec{0})) = \{p \in \Sigma : \|X(p)\| < R\} \subseteq \Sigma$ and integrating

$$\int_{D_R} \left(1 - \frac{\lambda}{n} r^2\right) e^{\frac{\lambda}{2}(R^2 - r^2)} d\sigma < 0 \quad (1)$$

- Now, we need the following

Lemma 9

Let $X : \Sigma^n \rightarrow \mathbb{R}^{n+m}$ be a complete properly immersed λ -self-shrinker in \mathbb{R}^{n+m} .

Let us suppose that $X(\Sigma) \not\subseteq S^{n+m-1}(R)$ for any radius $R > 0$.

Given the extrinsic ball $D_R = X^{-1}(B_R^{n+m}(\vec{0}))$, if $\text{Vol}(D_R) > 0$, we have, for all $R > 0$:

$$0 \leq 1 - \frac{\int_{D_R} \|\vec{H}_\Sigma\|^2 d\sigma}{n\lambda \text{Vol}(D_R)} = \frac{\int_{D_R} \left(1 - \frac{\lambda}{n} r^2\right) e^{\frac{\lambda}{2}(R^2 - r^2)} d\sigma}{\text{Vol}(D_R)} \quad (2)$$

Proof of Theorem 1

- Now, applying inequality (1) and Lemma 9, we have

$$0 \leq 1 - \frac{\int_{D_R} \|\vec{H}_\Sigma\|^2 d\sigma}{n\lambda \text{Vol}(D_R)} = \frac{\int_{D_R} (1 - \frac{\lambda}{n} r^2) e^{\frac{\lambda}{2}(R^2 - r^2)} d\sigma}{\text{Vol}(D_R)} < 0 \quad (3)$$

which is a contradiction.

- Hence, either $X(\Sigma) \subseteq S^{n+m-1}(R_0)$ for some radius $R_0 > 0$, or $\inf_\Sigma r = \sqrt{\frac{n}{\lambda}}$.
- In the first case, we have that $X : \Sigma \rightarrow S^{n+m-1}(R_0)$ will be a spherical immersion and, by Proposition 7, as Σ is a λ -self-shrinker, then X is minimal and $\lambda = \frac{n}{R_0^2}$, namely, $X : \Sigma \rightarrow S^{n+m-1}(\sqrt{\frac{n}{\lambda}})$ is a minimal immersion.

Proof of Theorem 1

- In the second case, if $\inf_{\Sigma} r = \sqrt{\frac{n}{\lambda}}$, then $\sqrt{\frac{n}{\lambda}} \leq r(p)$ for all $p \in \Sigma$ and hence $1 - \frac{\lambda}{n} r^2(p) \leq 0 \forall p \in \Sigma$.
- Then by inequality (1) and Lemma 9 we have

$$0 \leq 1 - \frac{\int_{D_R} \|\vec{H}_{\Sigma}\|^2 d\sigma}{n\lambda \text{Vol}(D_R)} = \frac{\int_{D_R} (1 - \frac{\lambda}{n} r^2) e^{\frac{\lambda}{2}(R^2 - r^2)} d\sigma}{\text{Vol}(D_R)} \leq 0 \quad (4)$$

- Therefore, $1 - \frac{\lambda}{n} r^2(p) = 0 \forall p \in \Sigma$, so $X(\Sigma) \subseteq S^{n+m-1}(\sqrt{\frac{n}{\lambda}})$, and hence $X : \Sigma \rightarrow S^{n+m-1}(\sqrt{\frac{n}{\lambda}})$ is a complete spherical immersion and a λ -self-shrinker. Then by Proposition 7, Σ is minimal in the sphere $S^{n+m-1}(\sqrt{\frac{n}{\lambda}})$.
- Finally, as $X : \Sigma^n \rightarrow \mathbb{R}^{n+m}$ is proper, then $\Sigma = X^{-1}(S^{n+m-1}(\sqrt{\frac{n}{\lambda}}))$ is compact.

We are going to prove

Theorem 2

Let $X : \Sigma^n \rightarrow \mathbb{R}^{n+m}$, ($m \geq 2$), be a complete and proper λ -self-shrinker, such that:

- i) The sphere $S_{\sqrt{\frac{n}{\lambda}}}^{n+m-1}(\vec{0})$ does not separate $X(\Sigma)$
- ii) The second fundamental form of Σ is bounded by

$$\|A_{\Sigma}^{\mathbb{R}^{n+m}}\|^2 < \frac{5}{3}\lambda$$

Then, Σ^n is isometric to $S^n(\sqrt{\frac{n}{\lambda}})$ and $\|A_{\Sigma}^{\mathbb{R}^{n+m}}\|^2 = \lambda$.

Proof of Theorem 2

- If the sphere $S^{\sqrt{\frac{n}{\lambda}}}(0)$ does not separate $X(\Sigma)$, then, applying Theorem 1,
- $X : (\Sigma, g) \rightarrow (S^{\sqrt{\frac{n}{\lambda}}}, g_{S^{\sqrt{\frac{n}{\lambda}}}})$ is a compact and minimal immersion,
- Hence, scaling the metric, $\tilde{X} : (\Sigma, \frac{\lambda}{n}g) \rightarrow (S^{\sqrt{\frac{n}{\lambda}}}(1), g_{S^{\sqrt{\frac{n}{\lambda}}}(1)})$ realizes as a minimal immersion, with second fundamental form in the sphere satisfying

$$\left\| \tilde{A}_{\Sigma}^{S^{\sqrt{\frac{n}{\lambda}}}(1)} \right\|^2 = \frac{n}{\lambda} \|A_{\Sigma}^{\mathbb{R}^{n+m}}\|^2 - n \quad (5)$$

- Hence, as by hypothesis $\|A_{\Sigma}^{\mathbb{R}^{n+m}}\|^2 < \frac{5}{3}\lambda$, then

$$\left\| \tilde{A}_{\Sigma}^{S^{\sqrt{\frac{n}{\lambda}}}(1)} \right\|^2 < \frac{2n}{3} \quad (6)$$

Proof of Theorem 2

- Case I: Assume that $n \geq 1$ and $m = 2$. Apply following Theorem,

J. Simons-M.P. Do Carmo-S.S. Chern-S. Kobayashi Rigidity Theorem

Let $\varphi : (\Sigma^n, \tilde{g}) \rightarrow (S^{n+1}(1), g_{S^{n+1}(1)})$ be a compact and minimal isometric immersion. Let us suppose that $\|\tilde{A}_{\Sigma}^{S^{n+1}(1)}\|^2 \leq n$. Then

- 1 either $\|\tilde{A}_{\Sigma}^{S^{n+1}(1)}\|^2 = 0$ and (Σ^n, \tilde{g}) is isometric to $S^n(1)$
- 2 or $\|\tilde{A}_{\Sigma}^{S^{n+1}(1)}\|^2 = n$. Then (Σ^n, \tilde{g}) is isometric to a generalized Clifford torus $\Sigma^n = S^k(\sqrt{\frac{k}{n}}) \times S^{n-k}(\sqrt{\frac{n-k}{n}})$ immersed as an hypersurface in $S^{n+1}(1)$.

Proof of Theorem 2

- Case II: Assume that $n \geq 1$ and $m \geq 3$. Apply following Theorem

A. M Li and J. Li, *Archiv. Math.* 58, (1992). Refinement of Simons' et al. Theorem

Let $\varphi : (\Sigma^n, \tilde{g}) \rightarrow (S^{n+m-1}(1), g_{S^{n+m-1}(1)})$ be a compact and minimal isometric immersion, and $m \geq 3$. Let us suppose that $\|\tilde{A}_\Sigma^{S^{n+m-1}(1)}\|^2 \leq \frac{2n}{3}$. Then,

- 1 either $\|\tilde{A}_\Sigma^{S^{n+m-1}(1)}\|^2 = 0$ and (Σ^n, \tilde{g}) is isometric to $S^n(1)$
- 2 or, (in case $n = 2$ and $m = 3$), $\|\tilde{A}_\Sigma^{S^{n+m-1}(1)}\|^2 = \frac{4}{3}$ and (Σ^2, \tilde{g}) is isometric to the Veronese surface $\Sigma^2 = \mathbb{R}P^2(\sqrt{3})$ in $S^4(1)$.

Thank you

Proof of our results. Lemma 9.

Proof of Lemma 9

- Consider $r^2 : \Sigma \rightarrow \mathbb{R}$, defined as $r^2(p) = \|X(p)\|^2$, where $r = \text{dist}_{\mathbb{R}^{n+m}}(\vec{0}, \cdot)$. We have that $X = r\nabla^{\mathbb{R}^{n+m}} r$ and that $X^T = r\nabla^\Sigma r$
- Then, applying Lemma 8 to the radial function $F(r) = r^2$,

$$\Delta^\Sigma r^2 = 2n + 2\langle r\nabla^{\mathbb{R}^{n+m}} r, \vec{H}_\Sigma \rangle \quad (7)$$

- As $\langle r\nabla^{\mathbb{R}^{n+m}} r, \vec{H}_\Sigma \rangle = -\lambda\|X^\perp\| = -\frac{\|\vec{H}_\Sigma\|^2}{\lambda}$
- Then

$$\Delta^\Sigma r^2 = 2n - 2\frac{\|\vec{H}_\Sigma\|^2}{\lambda} \quad (8)$$

Proof of our results. Lemma 9.

Proof of Lemma 9

- Integrating on $D_R = X^{-1}(B_R^{n+m}(\vec{0}))$ equality above, we have

$$n\lambda \text{Vol}(D_R) - \int_{D_R} \|\vec{H}_\Sigma\|^2 d\sigma = \frac{\lambda}{2} \int_{D_R} \Delta^\Sigma r^2 d\sigma \quad (9)$$

- Apply Divergence theorem (unitary normal to ∂D_R in Σ , pointed outward is $\mu = \frac{\nabla^\Sigma r}{\|\nabla^\Sigma r\|}$ and $X^T = r\nabla^\Sigma r$),

$$\begin{aligned} \int_{D_R} \Delta^\Sigma r^2 d\sigma &= \int_{\partial D_R} \langle \nabla^\Sigma r^2, \frac{\nabla^\Sigma r}{\|\nabla^\Sigma r\|} \rangle d\mu \\ &= \int_{\partial D_R} 2r \|\nabla^\Sigma r\| d\mu = 2 \int_{\partial D_R} \|X^T\| d\mu \end{aligned} \quad (10)$$

Proof of our results. Lemma 9.

Proof of Lemma 9

- Then equation (9) becomes

$$\begin{aligned} n\lambda \text{Vol}(D_R) - \int_{D_R} \|\vec{H}_\Sigma\|^2 d\sigma &= \lambda \int_{\partial D_R} \|X^T\| d\mu \\ &= \lambda \int_{\partial D_R} r \|\nabla^\Sigma r\| d\mu = \lambda R \int_{\partial D_R} \|\nabla^\Sigma r\| d\mu \end{aligned} \quad (11)$$

- Hence

$$1 - \frac{\int_{D_R} \|\vec{H}_\Sigma\|^2 d\sigma}{n\lambda \text{Vol}(D_R)} = \frac{R}{n\text{Vol}(D_R)} \int_{\partial D_R} \|\nabla^\Sigma r\| d\mu \geq 0 \quad (12)$$

Proof of our results. Lemma 9.

Proof of Lemma 9

- Applying the divergence theorem on D_R to the vector field $e^{-\frac{\lambda}{2}r^2} \nabla^\Sigma r^2$, we obtain

$$\int_{D_R} \operatorname{div}^\Sigma \left(e^{-\frac{\lambda}{2}r^2} \nabla^\Sigma r^2 \right) d\sigma = 2R e^{-\frac{\lambda}{2}R^2} \int_{\partial D_R} \|\nabla^\Sigma r\| d\mu. \quad (13)$$

- Hence

$$\begin{aligned} 1 - \frac{\int_{D_R} \|\vec{H}_\Sigma\|^2 d\sigma}{n\lambda \operatorname{Vol}(D_R)} &= \frac{R}{n \operatorname{Vol}(D_R)} \int_{\partial D_R} \|\nabla^\Sigma r\| d\mu \\ &= \frac{e^{\frac{\lambda}{2}R^2}}{2n \operatorname{Vol}(D_R)} \int_{\partial D_R} \operatorname{div}^\Sigma \left(e^{-\frac{\lambda}{2}r^2} \nabla^\Sigma r^2 \right) d\sigma \end{aligned} \quad (14)$$

Proof of our results. Lemma 9.

Proof of Lemma 9

- Finally, the proposition follows taking into account in equation above that

$$\operatorname{div}^{\Sigma} \left(e^{-\frac{\lambda}{2} r^2} \nabla^{\Sigma} r^2 \right) = 2e^{-\frac{\lambda}{2} r^2} (n - \lambda r^2) \quad (15)$$

The bound for the norm of second fundamental form in Theorem of Cao and Li is sharp

- The bound λ is sharp in the following sense:
- Consider the non-compact and proper 1-self-shrinker given by $\Sigma = \Gamma_{p,q} \times \mathbb{R} \subseteq \mathbb{R}^4$, where $\Gamma_{p,q} \subseteq \mathbb{R}^2$ is an Abresch-Langer curve.
- We have that

$$\|A_{\Sigma}^{\mathbb{R}^4}\|^2 = \|A_{\Gamma}^{\mathbb{R}^2}\|^2 = (k_g^{\Gamma})^2$$

where k_g^{Γ} is the geodesic curvature (= signed curvature) of the Abresch-Langer curve $\Gamma_{p,q} \subseteq \mathbb{R}^2$.

The bound for the norm of second fundamental form in Theorem of Cao and Li is sharp

- But the Abresch-Langer curves $\Gamma_{p,q}$ are contained in an annulus around the origin, and they are curves with rotation number p which touches each boundary of the annulus q times for each pair of mutually prime positive integers p, q such that $\frac{1}{2} < \frac{p}{q} < \frac{\sqrt{2}}{2}$
- As it is shown in the following picture

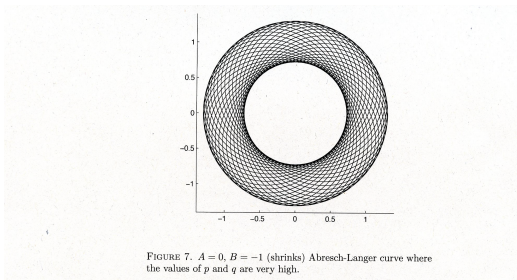


FIGURE 7. $A = 0, B = -1$ (shrinks) Abresch-Langer curve where the values of p and q are very high.

The bound for the norm of second fundamental form in Theorem of Cao and Li is sharp

- It has been shown, (see H. Halldorson, Trans. Amer. Math. Soc. 364, (2012)), that the signed curvature k_g^Γ of $\Gamma_{p,q}$:
 - Is an increasing function of the radius,
 - Never changes sign and
 - Takes its maximum and minimum at the same time as the radius, $k_{min}^\Gamma = r_{min}$ and $k_{max}^\Gamma = r_{max}$, where r_{min} and r_{max} are the inner and the outer radius of the annulus respectively.
 - Moreover, r_{min} take on every value in $(0, 1]$ and r_{max} take on every value in $[1, \infty)$

The bound for the norm of second fundamental form in Theorem of Cao and Li is sharp

- Hence, we can choose the values p and q in order to have:
 - $\|A_{\Sigma}^{\mathbb{R}^4}\|^2 = (k_g^{\Gamma})^2 < \frac{5}{3}$
 - The inner radius satisfies $r_{min} < 1$, so the sphere $S^3(1)$ separates Σ
- In conclusion, if we consider bounds for $\|A_{\Sigma}^{\mathbb{R}^{n+m}}\|^2$ greater than λ , there are λ -self-shrinkers satisfying this bound which are not those identified by Cao and Li in their Theorem. Moreover, some of these self-shrinkers can be separated.